

CLAIMS

What is claimed is:

1 1. A method for tracking the routing of an electronic document,
2 comprising:
3 embedding a unique identifier within an electronic document;
4 and
5 monitoring e-mail messages transmitted from senders to
6 recipients, for detection of e-mail messages having the electronic document
7 embedded therewithin or attached thereto, based on the unique identifier.

1 2. The method of claim 1 wherein the electronic document is a
2 Microsoft Word document.

1 3. The method of claim 1 wherein the electronic document is a
2 Microsoft Excel spreadsheet.

1 4. The method of claim 1 wherein the electronic documents is a
2 Microsoft PowerPoint presentation.

1 5. The method of claim 1 wherein the electronic document is an
2 Adobe PDF document.

1 6. The method of claim 1 wherein the electronic document is an
2 HTML document.

1 7. The method of claim 1 wherein the electronic document is an
2 XML document.

1 8. The method of claim 1 further comprising logging a recipient of
2 an e-mail message having the electronic document embedded therewithin or
3 attached thereto, in an audit record, when said monitoring detects the e-mail
4 message.

1 9. The method of claim 8 further comprising logging a sender of an
2 e-mail message having the electronic document embedded therewithin or attached
3 thereto, in an audit record, when said monitoring detects the e-mail message.

1 10. The method of claim 9 further comprising logging a date and
2 time of transmission of an e-mail message having the electronic document
3 embedded therewithin or attached thereto, in an audit record, when said
4 monitoring detects the e-mail message.

1 11. The method of claim 10 further comprising generating a tracking
2 report from audit records, corresponding to at least one specified document.

1 12. The method of claim 10 further comprising generating a tracking
2 report from audit records, corresponding to at least one specified user.

1 13. The method of claim 10 further comprising generating a tracking
2 report from the audit records, corresponding to a specified time period.

1 14. The method of claim 1 further comprising logging the most
2 recent file name of a file storing the electronic document, in an audit record, when
3 said monitoring detects an e-mail message having the electronic document
4 embedded therewithin or attached thereto.

1 15. The method of claim 1 wherein said monitoring comprises
2 authenticating the unique identifier.

1 16. The method of claim 15 further comprising issuing a notification
2 if said authenticating fails to authenticate the unique identifier.

1 17. The method of claim 1 further comprising:
2 examining an access control policy to determine whether or not
3 permission is granted to transmit the electronic document to a recipient of an e-
4 mail message having the electronic document embedded therewithin or attached
5 thereto; and
6 causing transmission of the e-mail message to the recipient to be
7 blocked, if said examining determines that permission is not granted.

1 18. The method of claim 17 further comprising issuing a notification
2 about said causing to be blocked.

1 19. A system for tracking the routing of an electronic document,
2 comprising:

3 an auto-marker for embedding a unique identifier within an
4 electronic document; and

5 a traffic monitor for monitoring e-mail messages transmitted
6 from senders to recipients, and for detecting e-mail messages having the
7 electronic document embedded therewithin or attached thereto, based on the
8 unique identifier.

1 20. The system of claim 19 wherein the electronic document is a
2 Microsoft Word document.

1 21. The system of claim 19 wherein the electronic document is a
2 Microsoft Excel spreadsheet.

1 22. The system of claim 19 wherein the electronic document is a
2 Microsoft PowerPoint presentation.

1 23. The system of claim 19 wherein the electronic document is an
2 Adobe PDF document.

1 24. The system of claim 19 wherein the electronic document is an
2 HTML document.

1 25. The system of claim 19 wherein the electronic document is an
2 XML document.

1 26. The system of claim 19 further comprising an auditor for logging
2 a recipient of an e-mail message having the electronic document embedded
3 therewithin or attached thereto, in an audit record, when said traffic monitor
4 detects the e-mail message.

1 27. The system of claim 26 further comprising an auditor for logging
2 a sender of an e-mail message having the electronic document embedded
3 therewithin or attached thereto, in an audit record, when said traffic monitor
4 detects the e-mail message.

1 28. The system of claim 27 further comprising an auditor for logging
2 a date and time of transmission of an e-mail message having the electronic
3 document embedded therewithin or attached thereto, in an audit record, when said
4 traffic monitor detects the e-mail message

1 29. The system of claim 28 further comprising a reporter for
2 generating a tracking report from audit records, corresponding to at least one
3 specified document.

1 30. The system of claim 28 further comprising a reporter for
2 generating a tracking report from audit records, corresponding to at least one
3 specified user.

1 31. The system of claim 28 further comprising a reporter for
2 generating a tracking report from audit records, corresponding to a specified time
3 period.

1 32. The system of claim 19 further comprising an auditor for logging
2 the most recent file name of a file storing the electronic document, in an audit
3 record, when said traffic monitor detects an e-mail message having the electronic
4 document embedded therewithin or attached thereto.

1 33. The system of claim 19 further comprising a scanner for
2 authenticating the unique identifier.

1 34. The system of claim 33 further comprising a notifier for issuing a
2 notification if said authenticating fails to authenticate the unique identifier.

1 35. The system of claim 19 further comprising:
2 a policy manager for examining an access control policy to
3 determine whether or not permission is granted to transmit the electronic
4 document to a recipient of an e-mail message having the electronic document
5 embedded therewithin or attached thereto; and
6 a policy enforcer for causing transmission of the e-mail message
7 to the recipient to be blocked, if said policy manager determines that permission is
8 not granted.

1 36. The system of claim 35 further comprising a notifier for issuing a
2 notification about said policy enforcer causing transmission of the e-mail message
3 to be blocked.

1 37. A computer-readable storage medium storing program code for
2 causing a computer to perform the steps of:
3 embedding a unique identifier within an electronic document;
4 and
5 monitoring e-mail messages transmitted from senders to
6 recipients, for detection of the electronic document embedded therewithin or
7 attached thereto, based on the unique identifier.

1 38. A method for tracking the routing of an electronic document,
2 comprising:
3 embedding a unique identifier within an electronic document;
4 and
5 monitoring transmitted network packets, for detection of network
6 packets containing the electronic document, based on the unique identifier.

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2 39. The method of claim 38 further comprising logging an audit
3 record of the transmission, when a network packet containing the electronic
4 document is detected by said monitoring.

1 40. The method of claim 39 wherein said logging includes logging a
2 date and time of the transmission in the audit record.

1 41. The method of claim 39 wherein said logging includes logging a
2 destination of the transmission in the audit record.

1 42. The method of claim 38 wherein said monitoring monitors
2 networks packets transmitted internally within an organization network.

1 43. The method of claim 38 wherein said monitoring monitors
2 networks packets transmitted from within an organization network to outside of
3 the organization network.

1 44. The method of claim 38 wherein said monitoring monitors
2 networks packets transmitted to an organization network from outside of the
3 organization network.

1 45. The method of claim 38 wherein the network packets are
2 transmitted in response to an FTP download.

1 46. The method of claim 38 wherein the network packets are
2 transmitted in response to an HTTP download.

1 47. The method of claim 38 wherein the network packets are
2 transmitted in response to an Instant Messenger download.

1 48. A system for tracking the routing of an electronic document,
2 comprising:
3 an auto-marker for embedding a unique identifier within an
4 electronic document; and
5 a traffic monitor for monitoring transmitted network packets, and
6 for detection of network packets containing the electronic document, based on the
7 unique identifier.

1 49. The system of claim 48 further comprising an auditor for logging
2 an audit record of the transmission when a network packet containing the
3 electronic document is detected by said traffic monitor.

1 50. The system of claim 49 wherein said auditor logs a date and time
2 of the transmission in the audit record.

1 51. The system of claim 49 wherein said auditor logs a destination of
2 the transmission in the audit record.

1 52. The system of claim 48 wherein said traffic monitor monitors
2 networks packets transmitted internally within an organization network.

1 53. The system of claim 48 wherein said traffic monitor monitors
2 networks packets transmitted from within an organization network to outside of
3 the organization network.

1 54. The system of claim 48 wherein said traffic monitor monitors
2 networks packets transmitted to an organization network from outside of the
3 organization network.

1 55. The system of claim 48 wherein the network packets are
2 transmitted in response to an FTP download.

1 56. The system of claim 48 wherein the network packets are
2 transmitted in response to an HTTP download.

1 57. The system of claim 48 wherein the network packets are
2 transmitted in response to an Instant Messenger download.

1 58. A computer-readable storage medium storing program code for
2 causing a computer to perform the steps of:
3 embedding a unique identifier within an electronic document;
4 and
5 monitoring transmitted network packets, for detection of network
6 packets containing the electronic document, based on the unique identifier.

1 59. A method for controlling distribution of an electronic document
2 within computer networks, comprising:
3 intercepting e-mail messages being transmitted from senders to
4 recipients;
5 scanning the intercepted e-mail messages for detection of a
6 specified electronic document embedded therein or attached thereto;
7 examining a policy to determine whether or not transmission of
8 the document to a recipient is permitted, if said scanning detects an e-mail
9 message having the electronic document embedded therein or attached thereto;
10 and
11 causing transmission of the document to the recipient to be
12 blocked, if said examining determines that transmission is not permitted.

1 60. The method of claim 59 wherein said scanning detects the
2 electronic document based on a unique identifier embedded therewithin.

1 61. The method of claim 59 wherein the policy indicates recipients
2 permitted to access the electronic document.

1 62. The method of claim 59 wherein the policy indicates recipients
2 not permitted to access the electronic document.

1 63. The method of claim 59 wherein the policy indicates senders
2 permitted to send the electronic document.

1 64. The method of claim 59 wherein the policy indicates senders not
2 permitted to send the electronic document.

1 65. The method of claim 59 further comprising issuing a notification,
2 if said examining determines that transmission is not permitted.

1 66. The method of claim 59 further comprising generating an audit
2 record to record transmission of the electronic document via an e-mail message, if
3 said examining determines that transmission is permitted.

1 67. A system for controlling distribution of an electronic document
2 within computer networks, comprising:

3 a traffic monitor for intercepting e-mail messages being
4 transmitted from senders to recipients;

5 a scanner for scanning the intercepted e-mail messages, and for
6 detecting a specified electronic document embedded therein or attached thereto;

7 a policy manager for examining a policy to determine whether or
8 not transmission of the document to a recipient of an e-mail message is permitted;
9 and

10 a policy enforcer for causing transmission of the document to the
11 recipient to be blocked.

1 68. The system of claim 67 wherein said scanner detects the
2 electronic document based on a unique identifier embedded therewithin.

1 69. The system of claim 67 wherein the policy indicates recipients
2 permitted to access the electronic document.

1 70. The system of claim 67 wherein the policy indicates recipients
2 not permitted to access the electronic document.

1 71. The system of claim 67 wherein the policy indicates senders
2 permitted to send the electronic document.

1 72. The system of claim 67 wherein the policy indicates senders not
2 permitted to send the electronic document.

1 73. The system of claim 67 further comprising a notifier for issuing a
2 notification, if said examining determines that transmission is not permitted.

1 74. The system of claim 67 further comprising an auditor for
2 generating an audit record, to record transmission of the electronic document via
3 an e-mail message, if said policy manager determines that transmission is
4 permitted.

1 75. A computer-readable storage medium storing program code for
2 causing a computer to perform the steps of:

3 intercepting e-mail messages being transmitted from senders to
4 recipients;

5 scanning the intercepted e-mail messages for detection of a
6 specified electronic document embedded therein or attached thereto;

7 examining a policy to determine whether or not transmission of
8 the document to a recipient is permitted, if said scanning detects an e-mail
9 message having the electronic document embedded therein or attached thereto;
10 and

11 causing transmission of the document to the recipient to be
12 blocked, if said examining determines that transmission is not permitted.

1 76. A method for controlling distribution of an electronic document
2 within computer networks, comprising:

3 intercepting network packets transmitted over a computer
4 network;

5 scanning the intercepted network packets for detection of
6 network packets containing a specified electronic document;
7 examining a policy to determine whether or not transmission of
8 the specified electronic document is permitted, if said scanning detects a network
9 packet containing the specified electronic document; and
10 causing transmission of the document to be blocked, if said
11 examining determines that transmission is not permitted.

1 77. The method of claim 76 wherein said scanning detects the
2 specified electronic document based on a unique identifier embedded therewithin.

1 78. The method of claim 76 wherein the policy indicates recipients
2 permitted to access the specified electronic document.

1 79. The method of claim 76 wherein the policy indicates recipients
2 not permitted to access the specified electronic document.

1 80. The method of claim 76 wherein the network packets are
2 transmitted in response to an FTP download.

1 81. The method of claim 76 wherein the network packets are
2 transmitted in response to an HTTP download.

1 82. The method of claim 76 wherein the network packets are
2 transmitted in response to an Instant Messenger download.

1 83. A system for controlling distribution of an electronic document
2 within computer networks, comprising:
3 a traffic monitor for intercepting network packets transmitted
4 over a computer network;
5 a scanner for scanning the intercepted network packets and for
6 detecting network packets containing a specified electronic document;
7 a policy manager for examining a policy to determine whether or
8 not transmission of the specified electronic document is permitted; and
9 a policy enforcer for causing transmission of the document to be
10 blocked.

1 84. The system of claim 83 wherein said scanner detects the
2 specified electronic document based on a unique identifier embedded therewithin.

1 85. The system of claim 83 wherein the policy indicates recipients
2 permitted to access the specified electronic document.

1 86. The system of claim 83 wherein the policy indicates recipients
2 not permitted to access the specified electronic document.

1 87. The system of claim 83 wherein the network packets are
2 transmitted in response to an FTP download.

1 88. The system of claim 83 wherein the network packets are
2 transmitted in response to an HTTP download.

1 89. The system of claim 83 wherein the network packets are
2 transmitted in response to an Instant Messenger download.

1 90. A computer-readable storage medium storing program code for
2 causing a computer to perform the steps of:
3 intercepting network packets transmitted over a computer
4 network;
5 scanning the intercepted network packets for detection of
6 network packets containing a specified electronic document;
7 examining a policy to determine whether or not transmission of
8 the specified electronic document is permitted, if said scanning detects a network
9 packet containing the specified electronic document; and
10 causing transmission of the document to be blocked, if said
11 examining determines that transmission is not permitted.